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PATENT APPLICATION

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In Re U.S. Patent Application)

Technology Center 2100

Applicant: Anzai et al.)

Serial No. 09/736,809)

Filed: December 14, 2000)

For: STORAGE APPARATUS AND
ACCESS CONTROL METHOD)

Art Unit: 2131)

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Appr. February 20, 1998

Registration No. 29367

Attorney for Applicant

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, DC 20231

Sir:

In accordance with 37 C.F.R. §§1.56, 1.97 and 1.98, Applicants through
counsel herewith submit copies of the publications as set forth in the attached form PTO-
1449 as follows:

U.S. DOCUMENTS

| <u>DOCUMENT</u> | <u>NAME</u> | <u>DATE</u> |
|-----------------|--------------------|---------------|
| 5,311,498 | Horiguchi | May 10, 1994 |
| 5,440,631 | Akiyama et al. | Aug. 08, 1995 |
| 5,644,444 | Braithwaite et al. | Jul. 01, 1997 |

FOREIGN PATENT DOCUMENTS

| <u>DOCUMENT NO.</u> | <u>COUNTRY</u> | <u>PUBLICATION DATE</u> |
|---------------------|----------------|-------------------------|
| 0 301 7860 | Japan | Jan. 25, 1991 |
| 0 401 7160 | Japan | Jan. 21, 1992 |
| 0 427 4058 | Japan | Sep. 30, 1992 |
| 06-068615 | Japan | Mar. 11, 1994 |
| 08-106735 | Japan | Apr. 23, 1996 |

REMARKS

Applicants respectfully request that the Examiner consider the above-listed references in the examination of this application and list these references of record in the application.

Respectfully submitted

GREER, BURNS & CRAIN, LTD.

By



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Form PTO-1449
(Rev. 8-88)

MAR 16 2001

U.S. Department of Commerce
Patent and Trademark Office

Attorney Docket No.:3169.64972

Serial No.: 09/736,809

INFORMATION DISCLOSURE CITATION
(Use several sheets if necessary)

Applicant: Anzai et al.

Filing Date: December 14, 2000

Group: 2131

U.S. PATENT DOCUMENTS

| U.S. PATENT DOCUMENTS | | | | | | |
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| Examiner Initial* | Document Number | Date | Name | Class | Subclass | Filing Date If Appropriate |
| | 5,311,498 | May 10, 1994 | Horiguchi | | | |
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|--------------------------|--|-----------------|---------------|---------|-------|----------|-------------|----|
| | | Document Number | Date | Country | Class | Subclass | Translation | |
| | | | | | | | Yes | No |
| | | 0 301 7860 | Jan. 25, 1991 | Japan | | | | |
| | | 0 401 7160 | Jan. 21, 1992 | Japan | | | | |
| | | 0 427 4058 | Sep. 30, 1992 | Japan | | | | |
| | | 06-068615 | Mar. 11, 1994 | Japan | | | | |
| | | 08-106735 | Apr. 23, 1996 | Japan | | | | |

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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Examiner

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